

Building the DODD-JENSEN Core Model with a Simplified Fine Hierarchy

Peter Koepke, University of Bonn

The DODD-JENSEN core model is of the form $K = L^E$ where E is a sequence of measures. We structure the model L^E by a continuous *fine hierarchy* $(\mathcal{F}_\alpha^E)_{\alpha \in \text{Ord}}$. Each \mathcal{F}_α^E is a structure of the form $\mathcal{F}_\alpha^E = (F_\alpha^E, \in, E, S^E, \dots)$, which contains a SKOLEM function S^E and other basic constructible operations. The next level $F_{\alpha+1}^E$ is the collection of all subsets of F_α^E which are definable over the structure \mathcal{F}_α^E by *quantifier-free* formulas. The hierarchy satisfies condensation theorems and other finestructural laws.

The sequence E consists of measures E_α which are represented as elementary maps (*extenders*) $E_\alpha: \mathcal{F}_\delta^E \rightarrow \mathcal{F}_\alpha^E$. Core model theory can be developed with the fine hierarchy. One can canonically define *finestructural ultrapowers* of levels \mathcal{F}_γ^E by measures in E . If all proper initial segments of \mathcal{F}_γ^E are finestructurally *sound* then this is inherited by finestructural ultrapowers. Iterated finestructural extensions can be used to compare structures \mathcal{F}_γ^E and $\mathcal{F}_{\gamma'}^{E'}$. The unique predicate E defining K consists of measures for which the formation of finestructural ultrapowers can be iterated arbitrarily (*iterability*).

The use of the fine hierarchy instead of standard fine structure theory circumvents the complications of iterated projecta and reducts and simplifies the construction of finestructural ultrapowers.

Stanford Logic Seminar, March 25, 2008, 16:15-17:30



Contents

- GÖDEL's constructible universe
- Measures and extenders
- Ultrapowers and iterated ultrapowers
- $0^\#, 0^{\#\#}, \dots$
- The DODD-JENSEN core model K
- Hierarchies and hulls
- The fine hierarchy for L^E
- \forall_1 -characterization of fine levels, condensation
- Fine ultrapowers and fine iterations
- A definition of K

GÖDEL's constructible universe

- $L_0 = \emptyset$
- $L_{\alpha+1} = \text{Def}(L_\alpha)$
- $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$ for limit ordinals λ
- $L = \bigcup_{\alpha \in \text{Ord}} L_\alpha$ is the *constructible universe*

$\text{Def}(X)$ is the “definable powerset” of X :

$$\text{Def}(X) = \{a \subseteq X \mid \text{there are a first-order formula } \varphi(v, \vec{w}) \text{ and parameters } \vec{p} \in X \text{ such that } a = \{x \in X \mid (X, \in) \models \varphi(x, \vec{p})\}\}.$$

(L, \in) is a model of ZERMELO-FRAENKEL set theory ZF, of the axiom of choice AC, and of the generalized continuum hypothesis GCH.

How close is L to the set theoretic universe V ?

A Corollary of JENSEN's *covering theorem*:

Let ν be a singular cardinal in V . Then

$(\nu^+)^L < \nu^+$ iff there is a nontrivial elementary embedding $e: (L, \in) \rightarrow (L, \in)$.

To approximate V one should incorporate such an e into the approximation.

Coding class-sized elementary embeddings by sets

Let $e \upharpoonright \kappa = \text{id}$ and $e(\kappa) > \kappa$, $\gamma = (\kappa^+)^L$, $\delta = e(\gamma)$.

$e \upharpoonright L_\gamma: (L_\gamma, \in) \rightarrow (L_\delta, \in)$ is elementary.

$E_\delta = e \upharpoonright L_\gamma$ is/can be chosen to be a *measure (extender)* on L :

- $\text{dom}(E_\delta) = L_\gamma$ for some $\gamma < \delta$
- $E_\delta \upharpoonright \kappa = \text{id}$ and $E_\delta(\kappa) > \kappa$ for some critical point $\kappa < \gamma$
- $L_\gamma = (H_{\leq \kappa})^L \models \text{ZFC}^-$
- $E_\delta: (L_\gamma, \in) \rightarrow (L_\delta, \in)$ is elementary
- $L_\delta = \text{Hull}(\kappa \cup \{\kappa\})$ and $E_\delta: (L_\gamma, \in) \rightarrow (L_\delta, \in)$ is cofinal
- (L_δ, E_δ) is *amenable*, i.e., $\forall x \in L_\delta \ x \cap E_\delta \in L_\delta$

Ultrapowers via directed systems

Let $\text{Tr}(X)$ denote the MOSTOWSKI *transitivization* of (X, \in) . Let $p \subseteq q$ range over finite subsets of L .

$$\begin{array}{ccccc}
 \text{Hull}(\kappa \cup p) & \subseteq & \text{Hull}(\kappa \cup q) & \subseteq & \bigcup_p \text{Hull}(\kappa \cup p) = L \\
 \updownarrow & & \updownarrow & & \parallel \\
 L_\gamma \ni \text{Tr}(\text{Hull}(\kappa \cup p)) & \xrightarrow{\sigma_{pq}} & \text{Tr}(\text{Hull}(\kappa \cup q)) & \xrightarrow{\sigma_q} & \text{dir lim}_p \text{Tr}(\text{Hull}(\kappa \cup p)) = L \\
 E_\delta \downarrow & & E_\delta \downarrow & & \pi_{E_\delta} \downarrow \\
 E_\delta(\text{Tr}(\text{Hull}(\kappa \cup p))) & \xrightarrow{E_\delta(\sigma_{pq})} & E_\delta(\text{Tr}(\text{Hull}(\kappa \cup q))) & \xrightarrow{\sigma_q^*} & \text{dir lim}_p E_\delta(\text{Tr}(\text{Hull}(\kappa \cup p))) \\
 & & & & \parallel \\
 & & & & \text{Ult}(L, E_\delta) \\
 & & & & \parallel? \\
 & & & & L
 \end{array}$$

The *ultrapower map* $\pi_{E_\delta}: (L, \in) \rightarrow (\text{Ult}(L, E_\delta), \in^*)$ extends $E_\delta: \pi_{E_\delta} \supseteq E_\delta$.

The elementarity of π_{E_δ} depends on the elementarity of the hulls.

For “algebraic” hulls, π_{E_δ} is \forall_1 -elementary in the appropriate language.

Iterated ultrapowers

If $(\text{Ult}(L, E_\delta), \in^*) = (L, \in)$ then say that L is *extendable* by E_δ .

Then the image $E^* = \bigcup \{\pi_{E_\delta}(x) \mid x \in L \wedge x \subseteq E_\delta\}$ is an extender on $\text{Ult}(L, E_\delta)$.

L is *iterable* by E_δ iff the formation of ultrapowers by E_δ and its images can be iterated transfinitely, taking direct limits at limit ordinals:

- $M_0 = L$, $\pi_{00} = \text{id}$, $E^{(0)} = E_\delta$
- $M_{\alpha+1} = \text{Ult}(M_\alpha, E^{(\alpha)})$, $\pi_{\alpha, \alpha+1} = \pi_{E^{(\alpha)}}$, $E^{(\alpha+1)} = \bigcup \{\pi_{\alpha, \alpha+1}(x) \mid x \in M_\alpha \wedge x \subseteq E^{(\alpha)}\}$
- $M_\lambda, (\pi_{\alpha, \lambda})_{\alpha < \lambda}$ is the transitive direct limit of $(M_\alpha)_{\alpha < \lambda}$, $(\pi_{\alpha, \beta})_{\alpha \leq \beta < \lambda}$, $E^{(\lambda)} = \bigcup \{\pi_{0, \lambda}(x) \mid x \in M_0 \wedge x \subseteq E^{(0)}\}$

The theory of iterated ultrapowers (KUNEN)

Iterated ultrapowers make structures uniform and comparable:

if $(M_\alpha, E^{(\alpha)})_{\alpha \in \text{Ord}}$, $(\pi_{\alpha, \beta})_{\alpha \leq \beta \in \text{Ord}}$ and $(M'_\alpha, E'^{(\alpha)})_{\alpha \in \text{Ord}}$, $(\pi'_{\alpha, \beta})_{\alpha \leq \beta \in \text{Ord}}$ are two iterations of L then $E^{(\alpha)} = E'^{(\alpha)}$ for sufficiently high α .

This implies that under the assumption of a nontrivial elementary embedding of L there is exactly one iterable extender $E_\delta: (L_\gamma, \in) \rightarrow (L_\delta, \in)$ on L_δ (and on L) such that L_δ is the hull of \emptyset (using the function E_δ in the formation of the hull).

This E_δ is called $0^\#$.

Iterated sharps

$0, 0^\#, 0^{\#\#}, \dots$

Generalizing sharps: E_δ is a *measure (extender)* on L_δ^E :

- $E_\delta: (L_\gamma^E, \in) \rightarrow (L_\delta^E, \in)$ is elementary and cofinal for some $\gamma < \delta$
- $E_\delta \upharpoonright \kappa = \text{id}$ and $E_\delta(\kappa) > \kappa$ for some critical point $\kappa < \gamma$
- $L_\gamma^E = (H_{\leq \kappa})^{L_\delta^E} \models \text{ZFC}^-$
- $L_\delta^E = \text{Hull}(\kappa \cup \{\kappa\})$
- (L_δ^E, E_δ) is *amenable*, i.e., $\forall x \in L_\delta^E \ x \cap E_\delta \in L_\delta^E$
-

The DODD-JENSEN core model

- $K = L^E$
- $E_\delta \neq 0$ implies that E_δ is an iterable extender on L_δ^E
- E_δ is *not* an iterable measure on L^E
- $E_\delta: L_\gamma^E \rightarrow L_\delta^E$ with critical point κ implies that there is a maximal α such that $\mathcal{P}(\kappa) \cap L_\alpha^E \subseteq L_\gamma^E$; then E_δ is an iterable extender on L_α^E

E is defined recursively. If $E \upharpoonright \delta$ is defined and there is such an $E_\delta \neq 0$ pick it for the sequence. Otherwise set $E_\delta = 0$.

K is a model of ZFC + GCH.

The DODD-JENSEN core model theory

$$\frac{\exists \kappa \text{ } \kappa \text{ measurable}}{K} = \frac{0^\# \text{ exists}}{L}$$

In particular:

- rigidity: if there is nontrivial elementary embedding $\sigma: (K, \in) \rightarrow (K, \in)$ then there is an inner model with a measurable cardinal
- if there is no inner model with a measurable cardinal then K covers V , e.g., for every singular cardinal ν holds $(\nu^+)^K = \nu^+$

GÖDEL's 1939 hulls

GÖDEL's proof of $2^{\omega_\mu} = \omega_{\mu+1}$ in *Consistency proof for the generalized continuum hypothesis*, Proceedings of the National Academy of Sciences forms a hull of $M_{\omega_\mu} \cup \{m\}$, where $m \subseteq M_{\omega_\mu}$; the hull uses definability for *all* formulas of the language of set theory.

“Define a set K of constructible sets, a set O of ordinals and a set F of Skolem functions by the following postulates I–VII:

- I. $M_{\omega_\mu} \subseteq K$ and $m \in K$.
- II. If $x \in K$, the order of x belongs to O .
- III. If $x \in K$, all constants occurring in the definition of x belong to K .
- IV. If $\alpha \in O$ and $\phi_\alpha(x)$ is a propositional function over M_α all of whose constants belong to K , then:
 1. The subset of M_α defined by ϕ_α belongs to K .

2. For any $y \in K \cdot M_\alpha$ the designated Skolem functions for ϕ_α and y or $\sim \phi_\alpha$ and y (according as $\phi_\alpha(y)$ or $\sim \phi_\alpha(y)$) belong to F .

V. If $f \in F$, $x_1, \dots, x_n \in K$ and (x_1, \dots, x_n) belongs to the domain of definition of f , then $f(x_1, \dots, x_n) \in K$.

VI. If $x, y \in K$ and $x - y \neq \Lambda$ the first element of $x - y$ belongs to K .

VII. No proper subsets of K, O, F satisfy I–VI.

.....

Theorem 5. *There exists a one-to-one mapping x' of K on M_η such that $x \in y \equiv x' \in y'$ for $x, y \in K$ and $x' = x$ for $x \in M_{\omega_\mu}$.*

Proof: The mapping x' (...) is defined by transfinite induction on the order, ...”

Theorem 5 is the fundamental condensation property: hulls are isomorphic to levels of the hierarchy.

Hierarchies and hulls

- GÖDEL: L_α -hierarchy, and Σ_ω -hulls with respect to the \in -language
- JENSEN: J_α^E -hierarchy with Σ_n truth predicates, and Σ_1 -hulls with respect to the \in -language enriched by truth predicates
- *Here*: \mathcal{F}_α^E -hierarchy built with *quantifier-free* definability, structures enriched by certain *constructible operations*, *algebraic* hulls with respect to those operations

The fine hierarchy for L^E

The *fine hierarchy* $(\mathcal{F}_\alpha^E)_{\alpha \in \text{Ord}}$ is defined by

$$\mathcal{F}_\alpha^E = (F_\alpha^E, \in, E, <^E, I^E, S^E, R^E, D^E, P^E).$$

- $F_0^E = \emptyset$

- Assume \mathcal{F}_α^E is defined. For *quantifier-free* $\varphi(v_0, \dots, v_{n-1}, v_n)$, $\vec{p} \in F_\alpha^E$ define the *interpretation*

$$I^E(F_\alpha^E, \varphi, \vec{p}) = \{v_n \in F_\alpha^E \mid \mathcal{F}_\alpha^E \models \varphi(\vec{p}, v_n)\} \quad (1)$$

Let

$$F_{\alpha+1}^E = \{I^E(F_\alpha^E, \varphi, \vec{p}) \mid \varphi(v_0, \dots, v_{n-1}, v_n) \in \mathcal{L}_0, \vec{p} \in F_\alpha^E\}.$$

Define $I^E \upharpoonright F_{\alpha+1}^E$ to extend $I^E \upharpoonright F_\alpha^E$ and the assignments made in (1); in all other cases set $I^E(\vec{x}) = \perp$.

The *rank function*: $R^E \upharpoonright F_{\alpha+1}^E \supseteq R^E \upharpoonright F_\alpha^E$, and for $y \in F_{\alpha+1}^E \setminus F_\alpha^E$ set

$$R^E(y) = F_\alpha^E.$$

The *definition function*: $D^E \upharpoonright F_{\alpha+1}^E \supseteq D^E \upharpoonright F_\alpha^E$, and for $y \in F_{\alpha+1}^E \setminus F_\alpha^E$, $D^E(y)$ is the $<_{\mathcal{L}}$ -least $\varphi \in \mathcal{L}_0$ such that

$$y = I^E(F_\alpha^E, \varphi, \vec{p})$$

for some $\vec{p} \in F_\alpha^E$;

then let the *parameter function* $P^E(y)$ be the least such \vec{p} in the lexicographical wellordering induced by $<^E \upharpoonright F_\alpha^E$.

The *constructible wellorder*: $<^E \upharpoonright F_{\alpha+1}^E$ endextends $<^E \upharpoonright F_\alpha^E$ and for $y, y' \in F_{\alpha+1}^E \setminus F_\alpha^E$

$$y <^E y' \text{ iff } D^E(y) <_{\mathcal{L}} D^E(y'), \text{ or } D^E(y) = D^E(y') \text{ and } P^E(y) \text{ is } <^E\text{-lexicographically smaller than } P^E(y').$$

The SKOLEM *function*: $S^E \upharpoonright F_{\alpha+1}^E \supseteq S^E \upharpoonright F_\alpha^E$ and for $\varphi(v_0, \dots, v_{n-1}) \in \mathcal{L}_0$ and $\vec{p} \in F_\alpha^E$

$$S^E(F_\alpha^E, \varphi, \vec{p}) = \begin{cases} \text{the } <^E\text{-lexicographically minimal } \vec{q} \in F_\alpha^E \text{ such that} \\ \mathcal{F}_\alpha^E \models \varphi(\vec{p}, \vec{q}), \text{ if this exists;} \\ \perp, \text{ else.} \end{cases}$$

For all other arguments $\vec{x} \in F_{\alpha+1}^E \setminus F_\alpha^E$ set $S^E(\vec{x}) = \perp$.

For limit $\lambda \leq \infty$ take a union of structures

$$\mathcal{F}_\lambda^E = \bigcup_{\alpha < \lambda} \mathcal{F}_\alpha^E$$

Hierarchy properties

a) $\alpha \leq \gamma \rightarrow F_\alpha^E \subseteq F_\gamma^E$

b) $\alpha < \gamma \rightarrow F_\alpha^E \in F_\gamma^E$

c) F_γ^E is transitive

d) $F_\gamma^E \cap \text{Ord} = \gamma$

e) $\bigcup_{\alpha \in \text{Ord}} F_\alpha^E = L^E$

Theorem 1. *There is a theory $T^{\mathcal{F}}$ consisting of Π_1 -sentences of the form $\forall \vec{x} \varphi$ where φ is quantifier-free, with the property: if $\mathcal{M} = (M, \in, E, <^M, I^M, S^M, R^M, D^M, P^M)$ is a transitive \mathcal{L} -structure then $\mathcal{M} \models T^{\mathcal{F}}$ iff $\mathcal{M} = \mathcal{F}_\alpha^E$ for some $\alpha \leq \infty$.*

Proof. The abbreviation $F(z)$ for $z = I(z, v_0 = v_0, \emptyset)$ expresses that z is a level of the fine hierarchy. Let $T^{\mathcal{F}}$ consist of

1. Transitivity: $x \dot{\in} y \wedge y \dot{\in} z \wedge F(z) \rightarrow x \dot{\in} z$
2. Linearity: $F(x) \wedge F(y) \rightarrow x \dot{\in} y \vee x = y \vee y \dot{\in} x$
3. $F(R(x)) \wedge \neg x \dot{\in} R(x)$
4. $R(x) \dot{\in} z \wedge F(z) \rightarrow x \dot{\in} z$
5. Interpretation: $F(x) \wedge \vec{y} \dot{\in} x \rightarrow (z \in I(x, \varphi, \vec{y}) \leftrightarrow z \dot{\in} x \wedge \varphi(\vec{y}, z))$
-
15. $\neg F(x) \vee \neg \vec{p} \dot{\in} x \rightarrow S(x, \varphi, \vec{p}) = \perp$ □

Definition 2. A set or class $Z \subseteq L^E$ is E -closed if $F_\omega \subseteq Z$ and Z is closed with respect to the operations I^E, S^E, R^E, D^E and P^E . For $X \subseteq L^E$ let $\mathcal{F}^E(X)$ be the hull of X in L^E , i.e., the \subseteq -smallest superset of X which is E -closed. Note that all fine levels F_α^E are E -closed.

Theorem 3. (Condensation Theorem) Let $E \subseteq V$ be a predicate and let $Z \subseteq L^E$ be E -closed. Then there are unique $\alpha \in \text{Ord}$, and $D \subseteq V$, and a unique fine isomorphism

$$\sigma: \mathcal{F}_\alpha^D \cong (Z, \in, E, <^E, I^E, S^E, R^E, D^E, P^E)$$

with $D \subseteq F_\alpha^D$.

Proof. Let $\sigma: (M, \in) \cong (Z, \in)$ be the MOSTOWSKI transitivization. Since Π_1 -theories transfer downwards, (M, \in, \dots) is a model of $T^{\mathcal{F}}$ and hence of the form \mathcal{F}_α^D . \square

Fine ultrapowers

Let $E_\delta: (F_\gamma^E, \in) \rightarrow (F_\delta^E, \in)$ with critical point κ be a *measure* on \mathcal{F}_α^E , i.e.,

$$\forall p \subseteq F_\alpha^E, p \text{ finite: } \text{Tr}(\mathcal{F}^E(\kappa \cup p)) \in F_\gamma^E$$

Let $p \subseteq q$ range over finite subsets of F_α^E .

$$\begin{array}{ccccccc}
 \mathcal{F}^E(\kappa \cup p) & \subseteq & \mathcal{F}^E(\kappa \cup q) & \subseteq & \bigcup_{p \subseteq \text{fin} F_\alpha^E} \mathcal{F}^E(\kappa \cup p) = \mathcal{F}_\alpha^E & & \\
 \downarrow & & \downarrow & & \parallel & & \\
 F_\gamma^E \ni \text{Tr}(\mathcal{F}^E(\kappa \cup p)) & \xrightarrow{\sigma_{pq}} & \text{Tr}(\mathcal{F}^E(\kappa \cup q)) & \xrightarrow{\sigma_q} & \text{dir lim}_p \text{Tr}(\mathcal{F}^E(\kappa \cup p)) = \mathcal{F}_\alpha^E & & \\
 E_\delta \downarrow & & E_\delta \downarrow & & \pi_{E_\delta} \downarrow & & \\
 E_\delta(\text{Tr}(\mathcal{F}^E(\kappa \cup p))) & \xrightarrow{E_\delta(\sigma_{pq})} & E_\delta(\text{Tr}(\mathcal{F}^E(\kappa \cup q))) & \xrightarrow{\sigma_q^*} & \text{dir lim}_p E_\delta(\text{Tr}(\mathcal{F}^E(\kappa \cup p))) & & \\
 & & & & \parallel & & \\
 & & & & \text{Ult}(\mathcal{F}_\alpha^E, E_\delta) & & \\
 & & & & \parallel? & & \\
 & & & & \mathcal{F}_{\alpha^*}^{E^*} & &
 \end{array}$$

Fine ultrapowers

- $\pi_{E_\delta}: \mathcal{F}_\alpha^E \rightarrow \text{Ult}(\mathcal{F}_\alpha^E, E_\delta)$ is \forall_1 -elementary
- if \mathcal{F}_α^E is *extendable* by E_δ , i.e., $\text{Ult}(\mathcal{F}_\alpha^E, E_\delta)$ is wellfounded, then $\text{Ult}(\mathcal{F}_\alpha^E, E_\delta) = \mathcal{F}_{\alpha^*}^{E^*}$ and $\pi_{E_\delta}: \mathcal{F}_\alpha^E \rightarrow \mathcal{F}_{\alpha^*}^{E^*}$
- $\pi_{E_\delta} \supseteq E_\delta$, $E^* \upharpoonright \delta + 1 = E \upharpoonright \delta$
- $\pi_{E_\delta}: \mathcal{F}_\alpha^E \rightarrow \mathcal{F}_{\alpha^*}^{E^*}$ can be lifted to $\pi_{E_\delta}^+: \mathcal{F}_{\alpha+1}^E \rightarrow \mathcal{F}_{\alpha^*+1}^{E^*}$

Fine iterations

A commutative system $(\mathcal{F}_{\alpha^{(i)}}^{E^{(i)}}, \pi_{ij})_{i \leq j < \theta}$ is a *fine iteration* of \mathcal{F}_{α}^E if

- $\mathcal{F}_{\alpha^{(0)}}^{E^{(0)}} = \mathcal{F}_{\alpha}^E$
- $\pi_{i, i+1}: \mathcal{F}_{\tau^{(i)}}^{E^{(i)}} \rightarrow \mathcal{F}_{\alpha^{(i+1)}}^{E^{(i+1)}}$ is a fine ultrapower by some $E_{\delta}^{(i)}$, where $\tau^{(i)} \leq \alpha^{(i)}$ is maximal such that $E_{\delta}^{(i)}$ is a measure on $\mathcal{F}_{\tau^{(i)}}^{E^{(i)}}$; if $\tau^{(i)} < \alpha^{(i)}$ we say that there is a *truncation* at i
- if $\lambda < \theta$ is a limit ordinal then $\mathcal{F}_{\alpha^{(\lambda)}}^{E^{(\lambda)}}$, $(\pi_{ij})_{i \leq j < \lambda}$ is the transitive directed limit of $(\mathcal{F}_{\alpha^{(i)}}^{E^{(i)}}, \pi_{ij})_{i \leq j < \lambda}$

\mathcal{F}_{α}^E is (*finely*) *iterable* if every fine iteration of \mathcal{F}_{α}^E can be freely continued.

Countable completeness of measures implies iterability.

Defining K

$K = L^E = \bigcup_{\alpha} \mathcal{F}_{\alpha}^E$ is iterable, i.e., every \mathcal{F}_{α}^E is iterable.

E is defined recursively. If $E \upharpoonright \delta$ is given, choose E_{δ} such that there is some $\alpha \geq \delta$ with

- E_{δ} is an extender on $\mathcal{F}_{\alpha}^{E \upharpoonright \delta + E_{\delta}}$ with measurable κ but not an extender on $\mathcal{F}_{\alpha+1}^{E \upharpoonright \delta + E_{\delta}}$
- $\mathcal{F}_{\alpha}^{E \upharpoonright \delta + E_{\delta}}$ is finely iterable
- $\mathcal{F}_{\alpha}^{E \upharpoonright \delta + E_{\delta}} = \mathcal{F}^{E \upharpoonright \delta + E_{\delta}}(\kappa \cup p)$ for some finite $p \subseteq F_{\alpha}^{E \upharpoonright \delta + E_{\delta}}$
- ...

If this is not possible, set $E_{\delta} = \emptyset$.

Uniqueness

Theorem 4. *There is at most one such E_δ .*

Proof. Otherwise *coiterate* $\mathcal{F}_\alpha^{E \upharpoonright \delta + E_\delta}$ and $\mathcal{F}_{\alpha'}^{E \upharpoonright \delta + E'_\delta}$: let $(\mathcal{F}_{\alpha^{(i)}}^{E^{(i)}}, \pi_{ij})_{i \leq j < \theta}$ and $(\mathcal{F}_{\alpha'^{(i)}}^{E'^{(i)}}, \pi'_{ij})_{i \leq j < \theta}$ be *fine iterations* of $\mathcal{F}_\alpha^{E \upharpoonright \delta + E_\delta}$ and $\mathcal{F}_{\alpha'}^{E \upharpoonright \delta + E'_\delta}$ respectively such that for all $i + 1 < \theta$

$$\pi_{i,i+1}: \mathcal{F}_{\tau^{(i)}}^{E^{(i)}} \rightarrow \mathcal{F}_{\alpha^{(i+1)}}^{E^{(i+1)}} \quad \text{and} \quad \pi'_{i,i+1}: \mathcal{F}_{\tau'^{(i)}}^{E'^{(i)}} \rightarrow \mathcal{F}_{\alpha'^{(i+1)}}^{E'^{(i+1)}}$$

are fine extension by some $E_\delta^{(i)}$ and $E'_\delta^{(i)}$ respectively where

$$E^{(i)} \upharpoonright \delta = E'^{(i)} \upharpoonright \delta \quad \text{and} \quad E_\delta^{(i)} \neq E'_\delta^{(i)}, \quad \text{if possible.}$$

This *coiteration* stops at some $\mathcal{F}_{\alpha^{(\theta-1)}}^{E^{(\theta-1)}}, \mathcal{F}_{\alpha'^{(\theta-1)}}^{E'^{(\theta-1)}}$.

If $\alpha^{(\theta-1)} < \alpha'^{(\theta-1)}$ then there is $a \subseteq \kappa$ such that $a \in \mathcal{F}_{\alpha'^{(\theta-1)}}^{E^{(\theta-1)}} \setminus \mathcal{F}_{\alpha^{(\theta-1)}}^{E^{(\theta-1)}}$. But this contradicts

$$\mathcal{P}(\kappa) \cap \mathcal{F}_{\alpha^{(\theta-1)}}^{E^{(\theta-1)}} = \mathcal{P}(\kappa) \cap \mathcal{F}_{\alpha}^{E \upharpoonright \delta + E_{\delta}} = \mathcal{P}(\kappa) \cap \mathcal{F}_{\alpha'}^{E \upharpoonright \delta + E'_{\delta}} = \mathcal{P}(\kappa) \cap \mathcal{F}_{\alpha'^{(\theta-1)}}^{E^{(\theta-1)}}.$$

Hence $\mathcal{F}_{\alpha^{(\theta-1)}}^{E^{(\theta-1)}} = \mathcal{F}_{\alpha'^{(\theta-1)}}^{E^{(\theta-1)}}$ and like in KUNEN's theory this implies $\mathcal{F}_{\alpha}^{E \upharpoonright \delta + E_{\delta}} = \mathcal{F}_{\alpha'}^{E \upharpoonright \delta + E'_{\delta}}$.
 Contradiction. □