



MAX-PLANCK-GESELLSCHAFT

The homology of Moduli Spaces of Riemann Surfaces

Boes, Felix Jonathan

Advisor : Prof. Dr. Carl-Friedrich Bödigheimer



Moduli spaces and mapping class groups

Introduction A motivating question would be the following: How can one classify the complex structures on a two dimensional manifold F ? The first huge step towards a satisfactory answer, is the construction of the moduli space \mathfrak{M} . Its underlying points are in one-to-one correspondence with the set of equivalence classes of complex structures. The study of these moduli spaces relates topology, geometry, algebra and mathematical physics.

The moduli space $\mathfrak{M}_{g,n}^m$ Fix $g \geq 0$, $m \geq 0$ and $n \geq 1$. Our data for a surface consists of

- (1) a Riemann surface F of genus g ;
- (2) a set $\mathcal{P} = \{P_1, \dots, P_m\} \subset F$ of m distinct points;
- (3) non-vanishing tangential directions $\mathcal{X} = (X_1, \dots, X_n)$ at points $\mathcal{Q} = (Q_1, \dots, Q_n)$ disjoint from \mathcal{P} .

Two surfaces $[F, \mathcal{P}, \mathcal{Q}, \mathcal{X}]$ and $[F', \mathcal{P}', \mathcal{Q}', \mathcal{X}']$ are equivalent if and only if there is a biholomorphic map $\varphi: F \rightarrow F'$ respecting the structure. The set of equivalence classes embody the moduli space of Riemann surfaces $\mathfrak{M}_{g,n}^m$. The condition $n \geq 1$ ensures that it is both a manifold of dimension $6g - 6 + 2m + 4n$ and a classifying space $B\Gamma_{g,n}^m$ for the mapping class group (because the action of $\Gamma_{g,n}^m$ on the Teichmüller space is well behaved).

The mapping class group $\Gamma_{g,n}^m$ Let F be smooth, oriented, of genus g with \mathcal{P} , \mathcal{X} and \mathcal{Q} as above. Let

$$Diff^+ = Diff^+(F, \mathcal{P}, \mathcal{Q}, \mathcal{X}) = \{\varphi: F \xrightarrow{\cong} F \mid \text{smooth, orientation preserving, respecting } \mathcal{P}, \mathcal{X} \text{ and } \mathcal{Q}\}.$$

with the C^∞ -Whitney topology and let $Diff_0^+ \subset Diff^+$ be the subspace of diffeomorphisms isotopic to the identity. The usual composition of maps turns $Diff^+$ into a topological group with $Diff_0^+$ a contractible subgroup. The mapping class group is

$$\Gamma_{g,n}^m = Diff^+(F, \mathcal{P}; \mathcal{Q}, \mathcal{X}) / Diff_0^+(F, \mathcal{P}; \mathcal{Q}, \mathcal{X}) = \pi_0 Diff^+(F, \mathcal{P}; \mathcal{Q}, \mathcal{X}).$$

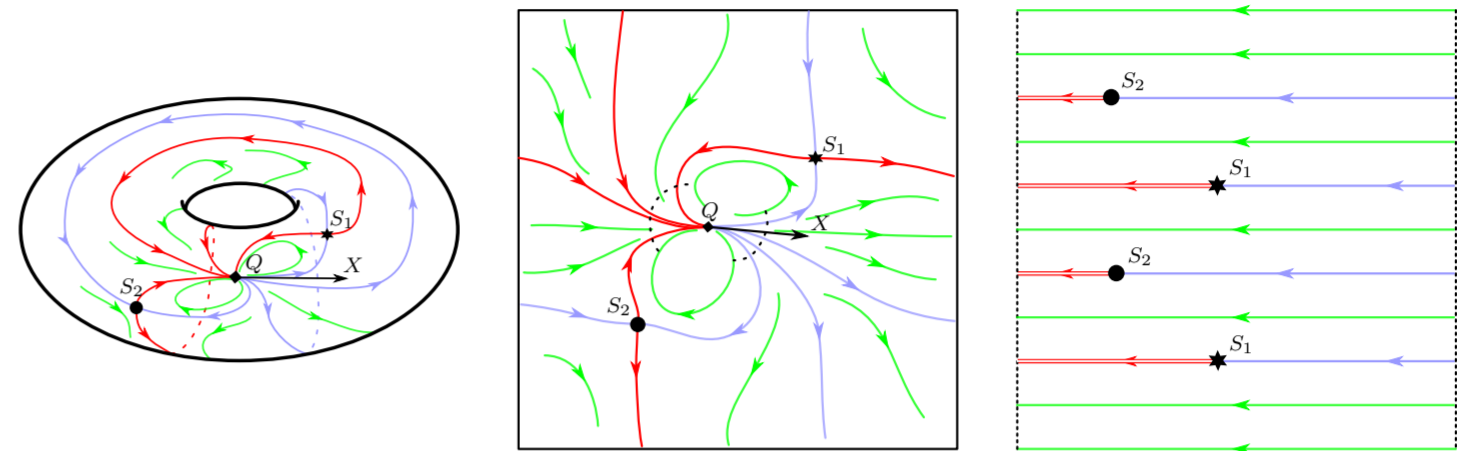
Instead of fixing directions \mathcal{X} at \mathcal{Q} , we remove an open small disc around every Q_i and obtain a compact surface \hat{F} with n boundary circles which are required to be fixed in a small ε -neighbourhood. This gives isomorphic groups; both are finitely presented by Dehn twists.

$$\Gamma_{g,n}^m = Diff^+(\hat{F}, \mathcal{P}; \partial\hat{F}) / Diff_0^+(\hat{F}, \mathcal{P}; \partial\hat{F}) = \pi_0 Diff^+(\hat{F}, \mathcal{P}; \partial\hat{F}).$$

Hilbert uniformization A method providing a comfortable model for $\mathfrak{M}_{g,n}^m$ is introduced in [Böd1]. In order to ease the discussion of the uniformization process, we provide a pictorial example on the next page, where $g = 1$, $m = 0$ and $n = 1$. Given a complex surface $[F] \in \mathfrak{M}_{g,n}^m$ we choose a map $u: F \rightarrow \mathbb{R} \subset \mathbb{C}$ which is harmonic away from \mathcal{P} and \mathcal{Q} . Moreover, we assert a dipole at every $Q_i \in \mathcal{Q}$ in direction X_i and with a logarithmic sink at every $P_j \in \mathcal{P}$. The flow of steepest descent has finitely many critical points S_1, \dots, S_k . The union of \mathcal{Q} , \mathcal{P} , all the S_j and the flow lines leaving the S_j constitute the critical graph K drawn in red.

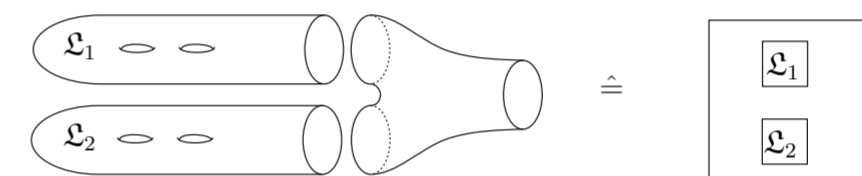
Observe that $F - K$ consist of exactly n contractible components because every flow line starts near exactly one Q_i . The process of "straightening the remaining flow lines" defines a biholomorphic map $u + iv$ from $F - K$ into the complex plane. The image is \mathbb{C} minus a finite number of horizontal half-rays running to the left; this we call a slit configuration.

Additive structures and the harmonic compactification



The space of such maps $u + iv$ is denoted by $\mathcal{H}_{g,n}^m$. It is a bundle $\mathcal{H}_{g,n}^m \xrightarrow{\cong} \mathfrak{M}_{g,n}^m$ and the choices we made constitute the fibre which is contractible. The space $\mathcal{H}_{g,n}^m$ is homeomorphic to the space of admissible slit configurations denoted by $\mathfrak{Par}_{g,n}^m$. We remark that a similar procedure results in another model for $\mathfrak{M}_{g,n}^m$, namely in the space $\mathfrak{Rad}_{g,n}^m$ of admissible slit configurations on n annuli.

The E_2 -space structure The data of a slit picture $\mathfrak{L} \in \mathfrak{Par}_{g,n}^m$ consists of the endpoints of the half-rays and certain glueing information. Thus, \mathfrak{L} is inscribed in a square of finite area. Placing two slit pictures into disjoint squares in \mathbb{C} defines an H-space structure on $\mathfrak{Par} = \coprod_{g,n}^m \mathfrak{Par}_{g,n}^m$. On $\mathfrak{M} = \coprod_{g,n}^m \mathfrak{M}_{g,n}^m$, the corresponding operation is induced by joining the surfaces by a pair of pants.



More generally, the little 2-cubes operad $\tilde{C}(\mathbb{C}) = \coprod_{k \geq 0} \{k \text{ disjoint, paraxial squares in } \mathbb{C}\}$ acts on \mathfrak{Par} . Consequently, $H_*(\mathfrak{Par}) \cong H_*(\mathfrak{M})$ is not only a commutative Pontryagin ring, but a Dyer-Lashof algebra. We discuss its structure in a moment.

The harmonic compactification The space of radial slit configurations $\mathfrak{Rad}_{g,n}^m$ is a model for the moduli space of Riemann surfaces $\mathfrak{M}_{g,n}^m$. It is not compact; but allowing certain degenerations of handles and boundary curves, we obtain the harmonic compactification $\mathfrak{M}_{g,n}^m \subset \overline{\mathfrak{M}}_{g,n}^m$. In [EK], it is identified with a space of Sullivan diagrams which is used in [Wah] to classify all natural operations on the Hochschild complex of symmetric Frobenius algebras. Besides computations for small g and m , we have the following result.

Theorem (B.-Egas 2016⁺). *Given parameters $g \geq 0$ and $m \geq 1$, the space of Sullivan diagrams $\mathcal{S}\mathcal{D}_{g,1}^m$ is highly connected i.e.*

$$\pi_*(\mathcal{S}\mathcal{D}_{g,1}^m) = 0 \quad \text{for } * \leq m - 2.$$

The (un)stable situation

Results in the so called stable range The Harer stabilization theorem states, that the multiplication with the generator in $H_0(\Gamma_{g,1}^0)$ induces an isomorphism $H_*(\Gamma_{g,1}^0) \xrightarrow{\cong} H_*(\Gamma_{g+1,1}^0)$ if $* \leq \frac{2}{3}g - 1$. Thus $\Gamma_{\infty,1} = \cup_g \Gamma_{g,1}$ is an approximation of every $\Gamma_{g,1}$ in this so called stable range. In [MW] Madsen and Weiss construct a Thom spectrum $MT(d)^+$ detecting the homotopy type of a cobordism category. As a special case, a group completion theorem yields a homology isomorphism $\mathbb{Z} \times B\Gamma_{\infty,1} \rightarrow \Omega^\infty MT(2)^+$. This proves a conjecture by Mumford.

Theorem (Madsen-Weiss 2002). *The rational cohomology of $\Gamma_{\infty,1}$ is*

$$H^*(\Gamma_{\infty,1}; \mathbb{Q}) \cong \mathbb{Q}[\kappa_1, \kappa_2, \dots]$$

with κ_i the Mumford-Morita-Miller characteristic classes for surface bundles. In particular, $H_*(\Gamma_{g,1}^0; \mathbb{Q})$ is known in the stable range $* \leq \frac{2}{3}g - 1$.

Using a different technique, the stabilization results carry over to the harmonic compactification.

Theorem (B.-Egas 2016⁺). *Let $g \geq 0$ and $m \neq 2$. The stabilization map $\mathcal{S}\mathcal{D}_{g,1}^m \rightarrow \mathcal{S}\mathcal{D}_{g+1,1}^m$ is highly connected i.e.*

$$\pi_*(\mathcal{S}\mathcal{D}_{g,1}^m) \xrightarrow{\cong} \pi_*(\mathcal{S}\mathcal{D}_{g+1,1}^m) \quad \text{for } * \leq g + m - 2.$$

Moreover, we construct infinite families of non-trivial homology classes. However, identifying the stable compactification $\mathcal{S}\mathcal{D}_{\infty,1}^m$ or its (rational) homology is a difficult task.

Computations in the unstable range The space of parallel slit domains $\mathfrak{Par}_{g,n}^m$ is a combinatorial, relative manifold, i.e. $\mathfrak{Par}_{g,n}^m \cong \mathbb{P} - \mathbb{P}'$ with $(\mathbb{P}, \mathbb{P}')$ a pair of compact cell complexes. The homology of $\mathfrak{M}_{g,n}^m$ is therefore Poincaré dual to the cohomology of \mathbb{P}/\mathbb{P}' . Computations for $2g + m < 6$ were done by Ehrenfried, Mehner and Wang using this model; and Godin using another model. Bödigheimer introduces an elegant filtration on \mathbb{P} in [Böd2]. We state some of our results for $2g + m = 6$ using this filtration.

Theorem (Bödigheimer, B., Hermann 2014). *The rational betti numbers of the moduli spaces are as follows.*

	* = 0	* = 1	* = 2	* = 3	* = 4	* = 5	* = 6	* = 7	* = 8	* = 9
$\dim_{\mathbb{Q}} H_*(\mathfrak{M}_{1,1}^1)$	1	1	0	2	3	2	1	0	0	0
$\dim_{\mathbb{Q}} H_*(\mathfrak{M}_{2,1}^2)$	1	0	1	3	0	2	2	0	0	0
$\dim_{\mathbb{Q}} H_*(\mathfrak{M}_{3,1}^3)$	1	0	1	1	0	1	1	0	0	1

Unstable homology via homology operations The unit tangent bundle of the universal surface bundle is the fibre of the forgetful map $\mathfrak{M}_{g,1}^m \rightarrow \mathfrak{M}_{g,0}^m$. Using this fibration, we detect an infinite family of non-vanishing, rational homology classes for varying g . These classes perish in the stabilization process. Moreover, Bödigheimer and the author provide some relations between generators via operadic homology operations.

Unstable homology via braid groups I The moduli space $\mathfrak{M}_{0,1}^m$ is the space of m undistinguishable particles in the plane. Thus, $Br_m = \pi_1(\mathfrak{M}_{0,1}^m) = \Gamma_{0,1}^m$ is the braid group on m strands. Using the theory of iterated loop spaces, Cohen provides the p -torsion of the integral homology and its description as Dyer-Lashof algebra. The classical result by Arnold and Fuks is then obtained as a corollary.

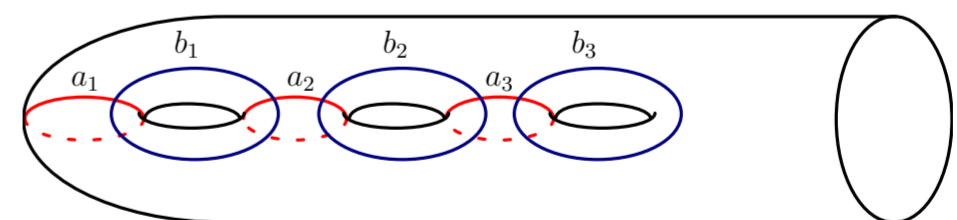
Forgetting the marked points defines a fibration $\mathfrak{M}_{g,1}^m \rightarrow \mathfrak{M}_{g,0}^m$ with fibre $C^m(F_{g,1})$, the unordered configuration space on the closed surface. Adding a marked point near the boundary curve, defines a map $\mathfrak{M}_{g,1}^m \rightarrow \mathfrak{M}_{g,1}^{m+1}$ that is compatible with projection to $\mathfrak{M}_{g,0}^m$. The induced map in homology $H_0(\mathfrak{M}_{0,1}^1; \mathbb{Z}) \otimes H_*(\mathfrak{M}_{g,1}^m; \mathbb{Z}) \rightarrow H_*(\mathfrak{M}_{g,1}^{m+1}; \mathbb{Z})$ is the multiplication with the generator in $H_0(\mathfrak{M}_{0,1}^1)$. It is split-injective by [BT1].

On the unstable homology

Using the braid group on two strands, we obtain infinite families of non-trivial (unstable) homology classes.

Theorem (B. 2015⁺). *The generator $b \in H_1(Br_2; \mathbb{F}_2) = H_1(\mathfrak{M}_{0,1}^2; \mathbb{F}_2)$ spans a polynomial ring $\mathbb{F}_2[b]$ inside $H_*(\mathfrak{M}; \mathbb{F}_2)$. Regarding $H_*(\mathfrak{M}; \mathbb{F}_2)$ as a module over $\mathbb{F}_2[b]$, it is torsion free.*

Unstable classes via braid groups II In the last paragraph, we identified the k^{th} braid group Br_k with $\Gamma_{0,1}^k$. Sending the braid generators σ_i to certain Dehn twists, [BT2] construct more families of maps from Br_k to $\Gamma_{g,1}^m$. Let us review one of these. The map $\phi_g: Br_{2g} \rightarrow \Gamma_{g,1}^0$ sends the generators $\sigma_1, \dots, \sigma_{2g-1}$ to the Dehn twists along the simple closed curves $a_1, b_1, \dots, a_g, b_g$ drawn red and blue in picture below.



The stable version $\phi_\infty: Br_\infty \rightarrow \Gamma_{\infty,1}^0$ comes from a map of double-loop spaces that is null-homotopic [BT2]. Therefore, ϕ_g is the trivial map in homology in the stable range. The same is true for most maps constructed in [BT2]. However, it turns out that some of these are non-trivial in the unstable range.

Proposition (B. 2016⁺). *For $g \leq 2$, the map ϕ_g induces a split injection in homology outside the stable range. Moreover, we have a canonical map $\psi_2: Br_6 \rightarrow \Gamma_{2,1}^0$, inducing a split injection $\mathbb{Z}/3\mathbb{Z} \cong H_4(Br_6; \mathbb{Z}) \rightarrow H_4(\Gamma_{2,1}^0; \mathbb{Z})$.*

References

- [Böd1] Carl-Friedrich Bödigheimer. *On the topology of moduli spaces of Riemann surfaces. Part I: Hilbert Uniformization*, Mathematica Gottingensis (1990).
- [Böd2] Carl-Friedrich Bödigheimer. *Cluster spectral sequence*, in preparation.
- [BT1] Carl-Friedrich Bödigheimer and Ulrike Tillmann. *Stripping and splitting decorated mapping class groups*, Cohomological Methods in Homotopy Theory Vol. 196 (2001), pp. 47–57.
- [BT2] Carl-Friedrich Bödigheimer and Ulrike Tillmann. *Embeddings of braid groups into mapping class groups and their homology*, In "Configuration Spaces: Geometry, Combinatorics and Topology", Scuola Normale Superiore Pisa, CRM Series vol. 14 (2012), pp. 173–191.
- [BH] Felix Jonathan Boes and Anna Hermann. *Moduli Spaces of Riemann Surfaces — Homology Computations and Homology Operations*, Masters Thesis. Universität Bonn (2014).
- [CLM] Frederick R. Cohen, Thomas J. Lada, and J. Peter May. *The homology of iterated loop spaces*, *Lecture Notes in Mathematics* Vol. 533 (1976), pp. 207–351.
- [EK] Daniela Egas Santander, Alexander Kupers. *Comparing combinatorial models of moduli space and their compactifications*, Preprint.
- [MW] Madsen, Ib and Weiss, Michael. *The stable moduli space of Riemann surfaces: Mumford's conjecture*, *Annals of Mathematics. Second Series* Vol. 165 (2007), pp. 843–941.
- [Wah] Nathalie Wahl. *Universal operations in Hochschild homology*, to appear in *Journal für die reine und angewandte Mathematik*.